

COPY OF PAPERS
ORIGINALLY FILED

Receipt



Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/994,412	
	Filing Date	November 27, 2001	
	First Named Inventor	Certa, et al.	
	Group Art Unit	1645	
	Examiner Name		
Total Number of Pages in This Submission		Confirmation Number	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): -Request for Corrected Filing Receipt
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)		
<input type="checkbox"/> Extension of Time Request		
<input type="checkbox"/> Express Abandonment Request		
<input type="checkbox"/> Information Disclosure Statement		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eileen M. Ebel
Signature	
Date	January 30, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231:	
Typed or printed name	Eileen M. Ebel
Signature	
Date	January 30, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY OF PAPERS
ORIGINALLY FILED



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors: Certa, et al.

Group: 1645

Serial No. 09/994,412, filed November 27, 2001

For: INHIBITION OF EXPRESSION OF A TARGET GENE

REQUEST FOR CORRECTED FILING RECEIPT

Nutley, New Jersey 07110
January 30, 2002

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting the "Foreign Application Data" as shown on the enclosed receipt copy.

Applicants have just received the filing receipt in Serial No. 09/994,412. The Foreign Application Data reads "UNITED KINGDOM 00126113.0 11/29/2000". The Foreign Application Data should read --- EUROPE 00126113.0 11/29/2000 --- . Therefore, it is respectfully requested that a new filing receipt be issued correcting the Foreign Application Data data.

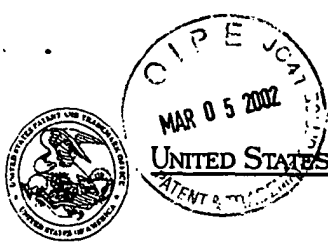
Respectfully submitted,

Attorney for Applicant(s)
Eileen M. Ebel
(Reg. No. 37316)
340 Kingsland Street
Nutley, New Jersey 07110
Telephone: (973) 235-4391
Telefax: (973) 235-2363

Enclosure

117118

COPY OF PAPERS
ORIGINALLY FILED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/994,412 ✓	11/27/2001 ✓	1645 ✓	740 ✓	20787 ✓	7 ✓	8 ✓	3 ✓

000151
HOFFMANN-LA ROCHE INC.
PATENT LAW DEPARTMENT
340 KINGSLAND STREET
NUTLEY, NJ 07110

CONFIRMATION NO. 7504
FILING RECEIPT

OC000000007242346

Date Mailed: 12/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ulrich Certa, Allschwil, SWITZERLAND; ✓
Kenneth Lundstrom, Oberwil, SWITZERLAND; ✓

Domestic Priority data as claimed by applicant

Foreign Applications

~~EUROPE~~ UNITED KINGDOM 00126113.0 11/29/2000

If Required, Foreign Filing License Granted 12/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Inhibition of expression of a target gene ✓

Socket: Certified Copy Jan. 27, 2002
(12)

Preliminary Class

435

COPY SENT TO
DEPARTMENT PLP

